

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): S.V. Kauffman
For: METHOD, SYSTEM, AND PROGRAM FOR DEFINING ASSET CLASSES IN A DIGITAL LIBRARY



jcs99745 pto
 10/053115
 01/17/02

Enclosed are:

- ☒ 11 No. of Sheets of Drawings Sheet(s) of drawings + 0 extra copies;
- ☒ 31 pages of Application; 21 pages of specification, 1 page of abstract
- ☒ An assignment of the invention to International Business Machines Corporation. (Will follow.)
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☒ Declaration and Power of Attorney. (Will follow.)
- ☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
- ☐ IDS enclosed - references

CALCULATION OF FEES						
ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SMLG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	40 - 20* =	20	X \$9 or \$18	\$360	
B	INDEPENDENT CLAIMS FEE**	4 - 3* =	1	X \$42 or \$84	\$84	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					444
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280					\$0
E	BASIC FEE* SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$740					\$740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1,184
G	ASSIGNMENT RECORDING FEE \$ 40					\$40
**LIST INDEPENDENT CLAIMS 1, 15, 23, 32						

Please charge Dep. Acct. No. 09-0460 in the amount of \$1,224 A copy of this sheet is enclosed.

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
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- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: --

S.V. Kauffman

Serial No.: --

Art Unit: --

Filed: January 17, 2002

For: **METHOD, SYSTEM, AND
PROGRAM FOR DEFINING ASSET
CLASSES IN A DIGITAL LIBRARY**

CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL873296095US

I hereby certify that patent application papers, including specification, claims and 11 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

January 17, 2002

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)



(Signature)